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FAX COVER SHEET
July 13, 2004

TO: Examiner D. Mohanty

FIRM: U.S. Patent and Trademark Office

DOCUMENT: U.S. Serial No. 10/043,006 *JOM*

FROM: Jeffrey D. Myers Reg. No. 35,964

TOTAL NUMBER OF PAGES (INCLUDING COVER SHEET): 21

FAX NO.: 703 308-7766

703 872-9306

MESSAGE

Transmission unsuccessful
on July 13, 2004. Resent July 14, 2004

Annette M. Turk
Annette Turk, Paralegal

- ☒ Original will not follow
☐ Original will follow via:
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☐ Hand delivery

CLIENT # 37000
MATTER # 101

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 START TIME 07/13 17:18
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 PAGES 0
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FAX NO.: 703 308-7766

MESSAGE

07/14/04 09:22 FAX 505 243 2542

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027

07/13/04 17:03

505 243 2542

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001

*** ERROR TX REPORT ***

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TX FUNCTION WAS NOT COMPLETED

TX/RX NO. 9936
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CONNECTION ID
START TIME 07/13 17:03
USAGE TIME 00'00
PAGES 0
RESULT NG 0 #018



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TO: Examiner D. Mohanty

FIRM: U.S. Patent and Trademark Office

DOCUMENT: U.S. Serial No. 10/043,006

JDM

FROM: Jeffrey D. Myers Reg. No. 35,964

TOTAL NUMBER OF PAGES (INCLUDING COVER SHEET): 21

FAX NO.: 703 308-7766

MESSAGE

Practitioner's Docket No. _____

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re application of: **A. Burl Donaldson**Application No: **10/ 043,006**Group No.: **3747**Filed: **January 8, 2002**Examiner: **B. Mohanty**

For:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))**

NOTE: 37 C.F.R. 1.97: "(c) An information disclosure statement shall be considered by the Office if filed after the period specified in paragraph (b) of this section, provided that the information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by one of:

- (1) The statement specified in paragraph (e) of this section; or
- (2) The fee set forth in § 1.17(p)."

NOTE: "If a final action or notice of allowance is mailed in an application and later withdrawn, the application will be considered as not having had a final action or notice of allowance mailed for purposes of considering an information disclosure statement." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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Express Mail certification is optional.)

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37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10 *

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308 7766

Signature _____

Date: July 13, 2004Jeffrey D. Myers, Reg. No. 35,964

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [8-4]—page 1 of 3)

NOTE: 37 C.F.R. § 1.704(d): "A paper containing only an information disclosure statement in compliance with §§ 1.97 and 1.98 will not be considered a failure to engage in reasonable efforts to conclude prosecution (processing or examination) of the application under paragraphs (c)(6), (c)(6), (c)(9), or (c)(10) of this section if it is accompanied by a statement that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement. This thirty-day period is not extendable."

NOTE: "If information submitted during the period set forth in 37 C.F.R. 1.97(c) with a certification is used in a new ground of rejection on unamended claims, the next Office action will not be made final since in this situation it is clear that applicant has submitted the information to the office promptly after it has become known and the information is being submitted prior to a final determination on patentability by the Office. However, the information submitted with a certification can be used in a new ground of rejection and the next Office action made final, if the new ground of rejection was necessitated by amendment of the application by applicant. Where the information is submitted during this period with a fee, the examiner may use the information submitted, e.g., printed publication or evidence of public use, and make the next Office action final whether or not the claims have been amended, provided that no other new ground of rejection which was not necessitated by amendment to the claims is introduced by the examiner. See MPEP 706.07(a). If a new ground of rejection is introduced that is neither necessitated by an amendment to the claims nor based on the information submitted with the fee set forth in 37 C.F.R. § 1.17(p), the Office action shall not be made final." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

WARNING: No extension of time can be had under 37 C.F.R. 1.136 (a) or (b) for filing an IDS. 37 C.F.R. 1.97(f).

TIME OF TRANSMITTAL OF ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed **after** three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but **before** the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311,

whichever occurs first.

STATEMENT OR FEE

2. Accompanying this transmittal is

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).

OR

- B. ☒ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance. [6-4]—page 2 of 3)

FEE PAYMENT*(complete this item, if applicable)*

3. Applicant elects the option to pay the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c) (\$180.00).

Fee due \$ 180.00**METHOD OF PAYMENT OF FEE**

4.

- ☐ Attached is a ☐ check ☐ money order in the amount of \$ _____
- ☒ Authorization is hereby made to charge the amount of \$ 180.00
- ☒ to Deposit Account No. 13-4213
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A duplicate of this paper is attached.

Reg. No. 35,964

Tel. No.: (505) 998-1500

Customer No.: 005179

SIGNATURE OF PRACTITIONER

Jeffrey D. Myers

PEACOCK, MYERS & ADAMS, P.C.

(type or print name of practitioner)

Post Office Box 26927

P.O. Address

Albuquerque, New Mexico 87125-6927

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [6-4]—page 3 of 3)

Practitioner's Docket No. _____

PATENT**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re application of: **A. Burl Donaldson**Application No: **10/ 043,006**Group No.: **3747**Filed: **January 8, 2002**Examiner: **B. Mohanty**

For:

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37 C.F.R. § 1.8(a)**37 C.F.R. § 1.10 ***

- ☐ with sufficient postage as first class mail.

- ☐ as "Express Mail Post Office to Addressee"

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TRANSMISSION

- ☒ facsimile transmitted to the Patent and Trademark Office, (703) _____

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Signature _____

Date: **JULY 13, 2004****Jeffrey D. Myers, Reg. No. 35,964**

(type or print name of person certifying)

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OR

B. ☒ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance. [8-4]—page 2 of 3)

FEE PAYMENT

(complete this item, if applicable)

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Fee due \$ 180.00**METHOD OF PAYMENT OF FEE**

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- ☐ Attached is a ☐ check ☐ money order in the amount of \$ _____
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- ☐ to Credit-card as shown on the attached credit card information authorization form PTO-2038.

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A duplicate of this paper is attached.

Filed in Duplicate

Reg. No. 35,964

Tel. No.: (505) 998-1500

Customer No.: 005179

SIGNATURE OF PRACTITIONER

Jeffrey D. Myers

PEACOCK, MYERS & ADAMS, P.C.

(type or print name of practitioner)

Post Office Box 26927

P.O. Address

Albuquerque, New Mexico 87125-6927

(Transmittal of Information Disclosure Statement before Mailing Date of Either a Final Action Or Notice of Allowance [8-4]—page 3 of 3)

*** ERROR TX REPORT ***

TX FUNCTION WAS NOT COMPLETED

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*** ERROR TX REPORT ***

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*** ERROR TX REPORT ***

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07/13/04 17:29 FAX 505 243 2542

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07/13/04 16:50 FAX 505 243 2542

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028
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*** ERROR TX REPORT ***

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CONNECTION ID		
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07/13/04 16:40 FAX 505 243 2542

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*** ERROR TX REPORT ***

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07/14/04 09:23 FAX 505 243 2542
07/13/04 16:25 FAX 505 243 2542

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*** ERROR TX REPORT ***

TX FUNCTION WAS NOT COMPLETED

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